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Modified PTO/SB/21 (09-00)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/601,937

Filing Date August 10, 2000

First Named Inventor I Soo Lee

Group Art Unit 2834

Examiner Name Julio C. Gonzalez

Total Number of Pages in This Submission

Attorney Docket Number You&I-1 (LEE/US)

ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
☐ Fee Attached
☒ Amendment / Reply
☒ After Final
☐ Affidavits/declaration(s)
☐ Extension of Time Request
☐ Express Abandonment Request
☐ Information Disclosure Statement
☐ Certified Copy of Priority Document(s)
☐ Response to Missing Parts/
Incomplete Application
☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers
(for an Application)
☐ Drawing(s)
☐ Licensing-related Papers
☐ Petition
☐ Petition to Convert to a
Provisional Application
☐ Power of Attorney, Revocation
Change of Correspondence
Address
☐ Terminal Disclaimer
☐ Request for Refund
☐ CD, Number of CD(s)

- ☐ After Allowance Communication
to Group
☐ Appeal Communication to Board
of Appeals and Interferences
☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
☐ Proprietary Information
☐ Status Letter
☒ Postcard Receipt
☒ Other Enclosure(s) (please
identify below):
Separate sheets showing changes to
claims.

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

John C. Pokotylo (Reg. No. 36,242)

Signature

John C. Pokotylo

Date

March 1, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class
mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 1, 2002

Typed or printed name

John C. Pokotylo

Signature

John C. Pokotylo

Date

March 1, 2002

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Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2001

Patient fees are subject to annual revision.

Complete if Known

Application Number 09/601,937
Filing Date August 10, 2000
First Named Inventor I Soo Lee
Examiner Name Julio C. Gonzalez
Group Art Unit 2834
Attorney Docket No. You+I-1 (LEE/US)

TOTAL AMOUNT OF PAYMENT (\$) 0.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorizing to:

Deposit Account Number 50-1049
Deposit Account Name Straub & Pokotylo

- ☒ Charge any deficiency or credit any overpayment.
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	209	166	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	150	214	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	20** = 0	0	0
2	3** = 0	0	0
Multiple Dependent		0	0

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description		
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	*Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
130	130	130	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,060	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Portions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(a)	
126	180	126	180	Submission of Information Disclosure	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$) 0.00					

SUBMITTED BY

Name (Print/Type)	John C. Pokotylo	Registration No. (Attorney/Agent)	36,242	Complete (if applicable)	Telephone (732) 335-1222
Signature	<i>John C. Pokotylo</i>	Date	March 1, 2002		

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IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

RECEIVED
MAR 14 2002
TC 2800 MAIL ROOM
JH
3/12

Attorney Docket No.: You&I-1 (LEE/US)

Applicant: I Soo Lee

Serial No.: 09/601,937

Filing Date: August 10, 2000

Title: CONSTANT-POWER BRUSHLESS DC MOTOR

Examiner: Julio C. Gonzalez

Group Art Unit: 2834

ASSISTANT COMMISSIONER FOR PATENTS
BOX AF
Washington, D.C. 20231

S I R:

AMENDMENT

In response to the Office Action mailed on December 5, 2001 (Paper No. 5), which set a period for response to expire on March 5, 2001, please amend the application as follows:

IN THE CLAIMS:

Please amend the claims as follows:

Please replace claim 2 with the following:

- B¹
- 1 2. (AMENDED) The motor as claimed in claim 1, wherein the
 - 2 stator has narrow slots to remove flux cancel phenomenon